

Applicant Name: _____

Date complete (DD/MM/YYYY): _____

Definitions of *italicized terms* are provided at the end of this supplement.

Attach a completed job list for the last two years and a work in progress list to include your bid list for the next 12 months.

1. Risk is operating as:

- CONSTRUCTION MANAGER
- GENERAL CONTRACTOR
- PRIME CONTRACTOR
- SUBCONTRACTOR

2. Enter the percentage of the risk's work for the categories listed below. Include all work for each category, whether self-performed or sublet to others. For *RESIDENTIAL-HABITATIONAL* pool & spa construction work, include patio and/or deck construction.

Percentages based on: (check one) PAYROLL SALES

Residential/Habitational pool&spaconstruction work:	____%
Residential/Habitational pool&spaconstruction repair work:	____%
Non-Residential/Habitational pool&spaconstruction work:	____%
Non-Residential/Habitational pool&spaconstruction repair work:	____%

3. Enter the percentage of operations from the following:

Percentages based on: (check one) PAYROLL SALES

Installation of above ground pools	____%	Pool/spa service/maintenance	____%
Installation of in-ground pools (concrete/gunite)	____%	Pool/spa repair/rehabilitation	____%
Installation of in-ground pools (vinyl-lined)	____%	Retail pool, spa, hot tub sales	____%
Installation of in-ground pools (fiberglass)	____%	Retail pool/spa chemical sales	____%
Installation of spas/hot tubs	____%	Retail patio furniture/pool supplies/accessories sales	____%
Whsle. Distr. of pool & spa supplies/accessories	____%	Holiday decoration sales	____%

4. Indicate the percentage of the risk's pool installations over the last five years that included a diving board or jump board: ____%

5. Indicate the percentage of the risk's pool installations over the last five years that included a slide: ____%

6. Please indicate the percentage of the risk's operations in the following categories?

**Pool/spa chemical wholesale distribution	____%	**Blasting or use of explosives	____%
**Importation of foreign products	____%	**Pool installation in upper floors/rooftops	____%
**Pool/spa chemical repackaging, mixing, dilution	____%	**Construction or maintenance of industrial or chemical sedimentation ponds, retention ponds or artificial lakes	____%
**Pool management services such as lifeguards	____%	**Sales of recreational vehicles (i.e.: mopeds, etc.)	____%
**Hourly rental services for spas/hot tubs, tanning booths, etc.	____%	**Original equipment manufacturer of products for the pool/spa industry	____%

****High hazard operation. Consult your underwriter.**

7. Does the risk have any other operations, other than those described on line 6 preceding page ? Yes No
 If Yes, please describe in detail below:

8. List the states the risk has worked in during the last five years:

9. Has the risk installed an *EIFS* product, or similar exterior finishing system product, in the past? Yes No
 If yes, please describe types of installations and approximate year of installations below:

10. Will the risk install an *EIFS* product, or a similar exterior finishing system product on future projects, if asked to do so? Yes No
11. Any current or past involvement with a **commercial or industrial wrap-up (OCIP or CCIP)**? Yes No
 Any current or past involvement with **residential or habitational wrap-ups (OCIP or CCIP)**? Yes No
12. Has the risk ever been named in a claim and/or litigation regarding faulty or defective construction or workmanship, including claims due to subsidence or use of an *EIFS* product? Yes No
 If Yes, was risk acting as a general, prime or sub-contractor?
 If Yes, was it a residential-habitational or mixed-use building?
 If Yes, provide a description of the work and status or outcome of the claim or suit: _____
13. Does the risk have knowledge of any pre-existing act, omission, event, condition or damages to any person or property that could potentially give rise to any future claim or legal action? Yes No
 If Yes, please describe: _____
 If the answers to questions 9, 10, 11, 12 or 13 are Yes, stop here and discuss the risk with your underwriter.
14. Does the insured communicate with the One-Call Service Center and the area utility owners who are not members of the One-Call Service Center, prior to all scheduled excavation work? Yes No
15. Has the insured obtained certification of participation in a Pool Popping Prevention seminar or established written procedures to control pool "pop-up" losses? Yes No
 If No, please discuss the account with your underwriter.
16. Is pool/spa design and installation completed in accordance with ANSI-NSPI technical standards? Yes No
17. Has the risk been cited for any OSHA violations in the last three years? Yes No
 If Yes, please explain: _____
18. Does the risk have an architect or engineer on staff? Yes No
 If Yes, does the risk carry professional liability insurance? _____
 If No, does the risk require the architect or engineer to carry their own professional liability insurance? _____
19. Enter the percentage of the risk's receipts in work sub-contracted to others: _____ %
20. List the types of work the risk subcontracts to others: _____
- Percentage of total work sub-contracted to others: _____ %
- Does the applicant obtain certificates of insurance from all sub-contractors? Yes No
- Is there a Diary System in place to track expiration dates of certificates of insurance? Yes No
- Is the applicant named as additional insured on all sub-contractors' policies? Yes No
- Does the applicant require all sub-contractors to carry limits (including Excess limits) equal to or greater than their own? Yes No

Does the applicant use written sub-contractor agreements containing hold harmless/indemnity agreements in favor of the applicant?

Yes No

Does the applicant verify that all sub-contractors follow all industry requirements and applicable state and local codes? Yes No

Indicate the types of sub-contractor agreements the applicant typically uses:

- ___ Standard (AGC, AIA contracts)
- ___ Custom
- ___ Other (explain) _____

Have agreements been reviewed by contract specialty attorney? Yes No

21. Does the risk retain job files? Yes No
If Yes, how long are they retained? _____

22. Does the risk have a quality control program? Yes No
If Yes, is it (check one) INFORMAL DOCUMENTED

23. Does the risk perform any work at or near nuclear facilities? Yes No
Has the risk done so in the past? Yes No
Will the risk do so in the future if the opportunity arises? Yes No
Explain any 'Yes' responses: _____

24. Does the risk have a New Hire Orientation Program with pre-hire physicals and drug screenings? ___ Yes No

25. Are safety meetings held on at least a quarterly basis; do managers and employees attend, and are attendance records kept? If less than quarterly, how often? Yes No

26. Trade Association Membership Yes No

- APA
- NESPA
- Others? _____

PRODUCER'S SIGNATURE _____

DATE _____

APPLICANT'S SIGNATURE _____

DATE _____

DEFINITIONS

Construction Manager: Construction managers evaluate various construction methods to determine the most cost-effective plan and schedule for the project and are ultimately responsible for ensuring that all work is completed on time and within budget. They schedule all required construction site activities into logical steps, budget the time required to meet specific deadlines and determine the labor requirements needed to complete the project. They direct and monitor the progress of all construction activities at the jobsite including the selection, coordination and oversight of trade contractors hired to complete specific pieces of the project. They oversee the delivery and use of materials, tools, and equipment as well as the quality, productivity and safety aspects of the project. They are responsible for obtaining all necessary permits and licenses, and depending on the contractual arrangements, may direct and/or monitor compliance with building and safety codes and other relevant regulations.

EIFS: Exterior Insulation Finishing Systems - multi-layered exterior wall systems (which resemble stucco in appearance) that are used on both commercial buildings and residential homes.

General Contractor: A contractor who subcontracts work to others in excess of 50% of its total receipts, exercises primary control of the job site, and is named in the construction documents as the general contractor of record.

Mixed-Use Building: Buildings which include both commercial occupancy and residential/habitational occupancy, and in which 30% or more of the building's square footage is being used for, or is intended to be used for, human residency.

Prime Contractor: A contractor who executes the construction contract directly with the project owner. The principal contractor on a construction project.

Residential/Habitational: Any structure intended for human residency including but not limited to single or multi-family housing, apartments, condominiums, townhouses, planned unit developments and also includes their common areas and/or appurtenant structures including swimming pools, hot tubs, spas, Jacuzzis, detached garages, guest houses or any similar structures. Residential and habitational also includes any buildings or structures where 30% or more of the structure's square footage is being used for or is intended to be used for human residency.

Subsidence: Any movement of land or earth including: landslides; mudflow; earth sinking, rising, and shifting; collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting; and earthquake.

Tract Housing: Developments where the houses are similar in price, physical characteristics, lot size and square footage; numerous houses of similar or complementary design constructed on a given expanse of land.

Trenchless Excavation Construction (TEC): In the past, the most common method for this type of utility line construction was auger boring, in which the casing pipe is simultaneously jacked through the earth while removing the spoil inside the encasement by means of a rotating flight auger. When this method was developed the maximum bore length was 40-70 feet or the width of a two-lane road. In more recent years, trenchless excavation construction (TEC) has rapidly evolved to encompass many different methods and enhanced equipment has increased the maximum bore lengths to as much as 6,000 feet depending on the method and soil conditions. As a result, TEC methods are not limited to street crossings and are used for "tunneling" beneath railroad crossings, airport runways, buildings, large open areas/parks, or wherever minimal disruption to the topography is desired.

Wrap-up (OCIP): A policy providing coverage for all interests in a major construction project. Also known as an OCIP (Owner Controlled Insurance Program) or a CCIP (Contractor Controlled Insurance Program).

SKYWARD SPECIALTY INSURANCE - CONSTRUCTION DIVISION—Not every risk qualifies for coverage. This information is provided as an overview of coverages available and should not be construed as underwriting guidelines, contractual terms or an offer of coverage. All submissions are subject to underwriting based on individual merits of the account. 01-2021